



**APPLICATION FOR PRE-QUALIFICATION
OF GENERAL CONTRACTORS**

**For
Student Services /Student Union Building/Campus Quad**

**Bid # 1162
RIO HONDO COMMUNITY COLLEGE DISTRICT**

September 2009

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GENERAL INFORMATION

All firms interested in bidding as the prime contractor on the New Student Services and Student Union Buildings (Bid # 1162) must complete this Pre-Qualification Application and be approved by the Rio Hondo Community College District (“District”). Pre-Qualification Applications are due on November 20, 2009 by 1:00 Pm. Only Applicants that are pre-qualified will be permitted to submit a bid for the construction of this Project. Plans and specifications will be made available to the pre-qualified Applicants upon notification of approval, prior to the mandatory Job walk and a bid schedule will be provided to all the pre-qualified contractors.

In March 2004, the voters of the District voted in favor of a local general bond obligation in the sum of \$245,000,000, commonly referred to as “Measure A”, in order to fund capital improvements to the District’s Rio Hondo College campus located at 3600 Workman Mill Road, Whittier, California. In addition, State Funding was secured for certain projects. The District intends to enter into contracts with general contractors to construct public works of improvement funded by Measure A as well as state and other funds. The District is dedicated to encouraging full participation of local minority, small and other disadvantaged businesses in its projects.

Project Scope:

Positioned in the center of the campus at the location of the future Campus Parkway tram stop and pedestrian intersection, the new Student Services Building will house the offices of the essential student services programs for Rio Hondo College. The project consists of three floors, each having a distinct function with departments such as Student Financial Aid and Counseling. The building is designed to complement the existing mid-modernist architecture found on campus and will have a connecting bridge to the Science Building and the campus quad.

The Student Union Building is the Annex to Student Services Building and will house the new Campus cafeteria and Dining room besides other Student offices and areas.

The Campus Quad Project area is in the heart of the campus and will be renovated by removing the existing bridge, removal of the Kiln and other utilities. The New Upper Quad will connect the Student Union and Student Services building, Administration building, the existing library tower by a series of pedestrian only -landscaped areas/steps to the Lower Quad. The existing Central drive will be converted to a Pedestrian only access with the addition of several landscape features in the upper quad and around existing buildings. The total construction cost of the three projects is approximately 27 Million.

Public Contract Code §20651.5 permits the governing board of any community college district to require each prospective bidder for a contract, as described under §20651, to complete and submit to the district a standardized questionnaire and financial statement in a form specified by the district, including a complete statement of the prospective bidder's financial ability and experience in performing public works construction. In accordance with §20651.5, the District has developed a Pre-Qualification Application which must be completed in advance by all firms seeking to bid on all three projects namely- the Student Services/ Student Union and Quad Renovation. **Two copies of the Application is required to be submitted.**

All pre-qualified firms must submit a Validation Form with the bid package certifying that the information contained in the Application has not changed. If the information has changed or the firm’s ownership or financial status has changed, the firm must attach a separate sheet with a full explanation.

Any new annual financial statements or tax returns published since submittal of the Pre-Qualification Application must also be attached. A copy of the Validation Form is attached hereto.

A person who is knowledgeable and duly authorized to attest to the past and present operations of the Applicant and its policies must complete the Application. An Application certification page must be signed by the preparer and by at least one general partner, owner, principal, or executive officer of the firm who is authorized to legally commit the firm. More than one certification page may be necessary. All questions must be answered. Disclaimers, general statements with global qualifications, or notations of "Not Applicable" are not acceptable. Any pages containing supplemental information, and other documentation which the Applicant submits to ensure full disclosure, should be attached to the Application. Each page must contain the Applicant's name and tax identification number. Applicants are encouraged to submit Applications as soon as possible to allow Pre-Qualification prior to submission of a bid or proposal. Completed pre-qualification forms should be submitted sixty (60) days prior to bid, or Applications final delivery date as stated on page 3 paragraph titled General Information .

The Applicant must provide current, accurate, and complete information. Incomplete or inaccurate documentation may result in denial of Pre-Qualification. The District reserves the right to verify the information submitted by the Applicant, in any related documents, or by supplemental information or data as necessary. If it is determined that false or misleading information or data was submitted in conjunction with the Application, the District may deny Pre-Qualification, revoke previously granted approval, or, if an award has been made, may terminate the contract.

All costs associated with completion of the Application shall be borne by the Applicant. The District shall not, in any event, be liable for any expense incurred by the Applicant in connection with the preparation, completion or submission of the Application. The financial information submitted in conjunction with the Applicant's Application is considered confidential business information and will be afforded protection to the fullest extent permitted by law.

The Pre-Qualification Application consists of three (3) primary review modules, which will be used to review the Applicants.

Module 1: The first module consists of a list of threshold questions to which the Applicant must answer "No." If the Applicant answers "Yes" to any of the threshold questions, the Application will automatically be rejected. If the Applicant answers "No" to all of the questions, the Application will proceed to the second module.

Module 2: The second module consists of a list of "Rating Questions" all of which the Applicant must answer. If the Applicant's score on the second module is sufficient, as indicated in Section 2, the application will proceed to the third module. If the Applicant's score on the second module is not sufficient, the Application will be rejected.

Module 3: The third module consists of a list of reference interview questions, which will be asked of previous client project contacts by Application Review Panel. If the Applicant's score on the third module is sufficient, the Application will be approved. If the Applicant's score on the third module is not sufficient, the Application will be rejected.

In summary, the Applicant must successfully pass all three modules of the Application in order for the Applicant to be Pre-Qualified for this project.

The Pre-Qualification of a prospective Applicant shall not limit or preclude the District's subsequent consideration of a Pre-Qualified Applicant's responsibility on factors other than the prospective Applicant's financial qualifications. (See Public Contract Code §20651.5.)

Applications must be delivered to the District no later than **1:00 PM November 20, 2009**. Pre-Qualification Applications received after this time will be returned unopened and will not be considered. No exceptions will be made.

Applicants shall mail or hand deliver completed Application in an envelope clearly marked "Application for Pre-Qualification of General Contractors for Student Services/Student Union/Campus Quad to:

Rio Hondo Community College District

3600 Workman Mill Road, Room A-103

Whittier, CA 90601-1699

Attn: Timothy L. Connell, Director Contract Management & Vendor Services

The following can result in denial of Pre-Qualified status:

1. Failure to submit any material information required on the questionnaire;
2. Deliberate submission of false information;
3. Debarment or suspension by any public entity;
4. Conviction of a crime or public offense; or,
5. Any combination of substantive factors including, but not limited to, disregard of laws and regulations, history of failure to perform in other contracts, unresolved tax liens, etc., which, in the sole discretion of the District, do not meet the standards of fitness or reliability expected from contractors wishing to do business with the District.

Once the review and evaluation is complete, the Applicant will be notified by letter whether the Pre-Qualification has been approved or denied. Notification will be made on or about December 7, 2009. Only Applicants for whom the Pre-Qualification Application has been approved will be permitted to submit a bid for Bid #1162 New Student Services / Student Union Buildings and Campus Quad Renovations.

Any Applicant denied Pre-Qualification has a right to appeal the decision. The Applicant has five (5) business days from the date of the Denial Notification to file a written appeal with the District's Department of Contract Management & Vendor Services at the Application submittal address shown above. The District will call to order a three-member Review Panel for appeals. None of the Review Panel members shall have participated in the prior review of the Application. Upon receipt of the written appeal, the Review Panel will meet to address the appeal. The Panel may consult with District counsel during its review. The Panel will examine the appeal and the facts surrounding the determination before making a decision. The Panel's decision is the final decision of the District. There are no further administrative appeals. The District's formal contract protest procedure is not applicable or available in this appeal process.

QUICK CHECK

Should I fill out this Application?

The following are screening statements which should be used to determine whether or not a prospective applicant should proceed to submit a pre-qualification application.

Prospective Applicants must be able to answer "YES" to each statement below.

1. I am bidding as the prime contractor.
2. I intend to bid a job over \$ 27 Million.
3. I am appropriately “B” licensed and bondable.
4. I have completed at least three (3) public works construction projects within the last five(5) years as a Prime Contractor. (“Public works construction projects” is defined as facilities built for government agencies including school districts, community college districts, special districts, local, county, state and federal agencies requiring prevailing wages rates paid to workers.)
5. I have completed at least two (2) school (K-12 or higher education) construction projects involving the new construction values of at least \$ 27 Million within the last five(5) years as a Prime Contractor.
6. I am eligible to bid on a Public Works contract as per §1777.1 of the Labor Code.
7. I meet the minimum safety requirements specified by the District’s Owner Controlled Insurance Program (“OCIP”) as follows:
 - a. I have a Worker’s Compensation Modifier of 1.25 or less composite average measured over a five (5) year history.
 - b. I have had no more than five (5) serious violations and no more than two (2) serious repeat violations of OSHA requirements in the past five (5) years.
 - c. No willful violations of any occupational safety or health standard, order or section 25910 of the Health and Safety Code.
 - d. I have an Injury and Illness Prevention Program (“IIPP”) instituted in accordance with the provisions of Labor Code §3201.5 or §6401.7. In the event that the Bidder or a Listed Subcontractor cannot provide a current Injury and Illness Prevention Program instituted in accordance with the provisions of Labor Code §3201.5 or §6401.7, such Bidder or Listed Subcontractor may elect to adopt the District’s Injury and Illness Prevention Program for purposes of the Bid.
8. I have a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate.
9. I have workers’ compensation insurance policy as required by the Labor Code or am legally self-insured pursuant to Labor Code section 3700 et. seq.

10. I have attached a copy of my most recent Compiled, Reviewed or Audited Financial Statements, including accompanying notes and supplemental information. **NOTE: The Accountant's/Auditor's Report must be dated within the last twelve (12) months.**

11. I have attached a letter of bond-ability confirming name, address and telephone number of a contact for a California admitted Surety Company with at least an A-rating. The letter includes both single and aggregate bonding capacity totals. **NOTE: This letter should be provided by the surety company or an authorized agent. A letter from an authorized agent must include a power of attorney and notary acknowledgment.**

SECTION 1 – CONTRACTOR’S GENERAL INFORMATION

CONTRACTOR'S STATEMENT OF EXPERIENCE AND FINANCIAL CONDITION

Please Type or Print Clearly

Prime Contractor: _____ Check One: Corporation _____
(As name appears on license) Partnership _____

Contact Person: _____ Sole Prop. _____
E-Mail Address: _____ Joint Venture _____

Address: _____

Phone No.: () _____ Fax No.: () _____

Type of Business (using NAIC codes): _____

No. of Employees: _____

MBE Certified: _____ Yes _____ No

WBE Certified: _____ Yes _____ No

DBE Certified: _____ Yes _____ No

DVBE Certified: _____ Yes _____ No

SBE Certified: _____ Yes _____ No

Contractor’s License # _____ Class(es): _____

Exp. Date: _____

Have you ever been licensed in California under a different name or different license number?

_____ Yes _____ No -- If yes, list all name(s) and license number(s):

District Use Only:
Verified by District by _____ on _____ by speaking with _____.

License Clear: _____ Yes _____ No (www.cslb.ca.gov Web page or 1-800-321-2752 for computer)

Tax ID Number: _____

Date Business Formed: _____

1. In the past ten (10) years, what other business ventures have the principal or corporate officers been involved in?

Name: _____

Type of Business: _____

Dates: _____

2. Has there been any change in control of the company within the past two years? (If yes, explain on separate signed page.) _____ Yes _____ No

3. Is the company or its owners connected with other companies as a subsidiary, parent, holding or affiliate? _____ Yes _____ No

If yes, please list the names of said companies and the relationship with the Applicant:

4. Corporate Officers - Partners - Proprietor - Owners - Key Personnel:

Name	Position	Years w/Firm	% of Ownership	Social Sec. #

5. If a corporation, date incorporated: _____ State: _____

6. If a partnership, date of formation: _____ State: _____

7. In what type of construction do you specialize? _____

8. What was the largest amount of work completed in one year?

Dollar Amount	Number of Jobs	Year	Largest Job	Amount
\$				\$

9. List annual gross income for last three (3) years: Year: _____ \$ _____

Year: _____ \$ _____

Year: _____ \$ _____

10. Are you currently pre-qualified with any other school or community college district other than Rio Hondo College in the State of California? _____ Yes _____ No

If yes, please list district and dollar rating:

_____	\$ _____
_____	\$ _____
_____	\$ _____

11. Have you ever been denied pre-qualification status?

If yes, please list name of agency and date of denial:

I hereby allow Rio Hondo Community College District to contact the district(s) above to discuss my rating/pre-qualification/denial of pre-qualification.

Signed: _____ Date: _____

Name/Title: _____

SECTION 2- THRESHOLD QUESTIONS (Module 1)

Applicant will be immediately disqualified if the answer to any of the questions below is “Yes”. Refusal to answer or omission of response to any question on this form will result in disqualification of Applicant.

1. In the last five years, has your firm, or any key Person in your firm (RMO, RME, Principal, Owner, or Project Manager), had any license revoked by the Contractors State License Board (CSLB)?
 YES NO
2. In the last five (5) years, has your firm, or any key Person in your firm, been convicted of a crime involving the awarding of a contract of a government (local, state or federal) construction project, or the bidding or performance of a government contract?
 YES NO
3. In the last five (5) years, has your firm, or any key Person in your firm, been “defaulted” or “terminated” by an owner (other than for convenience of the project owner) or has your surety completed a contract for your firm?
 YES NO
4. At the time of submitting this pre-qualification form, is your firm or any key Person in your firm, ineligible to bid on or be awarded any public works contract, or perform as a subcontractor on a public works contract?
 YES NO
5. Has your firm, or any key Person in your firm, ever been found guilty in a criminal action, for making any false claim or material misrepresentation to any public agency or entity?
 YES NO
6. In the last ten (10) years, has your firm, or any key Person in your firm, ever been convicted of a crime involving any federal, state or local contracts?
 YES NO
7. In the last fifteen (15) years, has your firm (including firms absorbed or reincarnated) been involved in any litigation (including arbitration and mediation) with Rio Hondo College District, filed any claims against Rio Hondo College, or had any claims filed against it by Rio Hondo College District.
 YES NO
8. In the last fifteen (15) years, have any of your firm’s officers been involved in any litigation (including arbitration and mediation) with Rio Hondo College District, filed any claims against Rio Hondo College, or had any claims filed against it by Rio Hondo College District.
 YES NO

If the answer to ALL of the above questions is NO, please proceed with completion of this Application.

SECTION 3- RATING QUESTIONS (Module 2)

Highest Possible Rate = 100 Points.

A score less than 80 points disqualifies you from bidding on Bid # 1162.

“You” or “Your” refer to the Applicant listed in Section 1.

Question	Response	Points (For Office Use Only)
1. How many years has your organization been in business in California as a contractor under your present business name and license number? (5 Yrs. or less = 0 pts., 6-15 Yrs. = 3 pts., 16+ = 6 pts)	_____ Years	_____pts.
2. How many years' experience does your RMO/RME have as a licensed contractor? (5 Yrs. or less = 0 pts., 6-10 Yrs. = 3 pts., 11+ = 6 pts.)	_____ Years	_____pts.
3. Is your firm and the RMO/RME in good standing with the Contractors State License Board, or have they ever had their contractor's licenses suspended, put on probation, or revoked? (Check One) (Revoked = 0 pts., probation = 3 pts., suspended = 2 pts., good standing = 6 pts.)	_____ Never Suspended or on Probation _____ Suspended _____ Probation _____ Revoked	_____pts.
4. How many years has your firm performed construction work to public agencies under the California Division of State Architect (DSA) rules and regulations? (5 Yrs. or less = 0 pts., 6-15 Yrs. = 3 pts., 16+ = 4 pts.)	_____ Years	_____pts.
5. How many projects with construction costs over \$25 million involving new construction facilities has your firm completed as a Prime Contractor in California in the past 5 years? (2 or less = 0 pts., 2-5 = 3 pts., 6+ = 8 pts.)	_____ Projects	_____pts.
6. How many projects with construction costs over \$ 25 million has your firm completed as a Prime Contractor for Community colleges or School Districts in the past 5 years ? (2 or less = 0 pts; 3-5=3 pts; 5+=6 pts;)	_____ Projects	_____pts.

Question	Response	Points (For Office Use Only)
<p>7. How many times in the last ten (10) years has your company received “Non-responsive Notices” on public works contracts during the prequalification review? (2+ = 0 pts., 1 = 2 pts., 0 = 3 pts.)</p>	<p>_____ Notices</p>	<p>_____pts.</p>
<p>8. Within the last five (5) years, how many times has your company filed “Requests to be Released” from bid on public works contracts? (2+ = 0 pts., 1 = 2 pts., 0 = 3 pts.)</p>	<p>_____ Requests</p>	<p>_____pts.</p>
<p>9. Within the last five (5) years, how many times has your company filed two (2) or more Requests for Substitution of Listed Subcontractors that were denied? (2+ = 0 pts., 1 = 2 pts., 0 = 3 pts.)</p>	<p>_____ Requests</p>	<p>_____pts.</p>
<p>10. Within the last ten (10) years, how many lawsuits brought by suppliers, subcontractors, prime contractors, or owners have been defended in court by your firm and preceded to judgment against your firm and/or any individual key Person from your firm? (1+ = 0 pts., 0 = 3 pts.)</p>	<p>_____ Lawsuits</p>	<p>_____pts.</p>
<p>11. Within the last ten (10) years, how many times has your company been awarded a public works contract in which you “failed to execute” a contract? Note: “Failure to Execute” is any of the following: (1) Refusal to pick up, sign, and/or return contract documents; (2) Inability to obtain insurance and/or bond requirements; or (3) Failure to submit required agreement forms such as a Project Stabilization Agreement.) (2+ = 0 pts., 1 = 2 pts., 0 = 3 pts.)</p>	<p>_____ Times</p>	<p>_____pts.</p>
<p>12. Within the last ten (10) years, how many legal proceedings (including arbitration, mediation, or other dispute resolution proceedings) have you initiated against an owner? (Add all together) (2+ = 0 pts., 1-2 = 3 pts., 0 = 8 pts.)</p>	<p>_____ Proceedings</p>	<p>_____pts.</p>
<p>13. Within the last ten (10) years, how many legal proceedings (including arbitration, mediation, or other dispute resolution proceedings) has an owner initiated against you? (Add all together) (2+ = 0 pts., 1-2 = 2 pts., 0 = 8 pts.)</p>	<p>_____ Proceedings</p>	<p>_____pts.</p>

Question	Response	Points (For Office Use Only)
14. Has your firm been assessed liquidated damages on any project within the past five (5) years? (Yes = 0 pts., No = 6 pts.)	_____ Yes _____ No	_____pts
15. Has an owner ever made a demand on your performance bond? (Yes = 0 pts., No = 6 pts.)	_____ Yes _____ No	_____pts
16. Has your firm ever had insurance terminated by a carrier in the past five (5) years due to an excessive claims history and/or nonpayment of premium? (Yes = 0 pts., No = 6 pts.)	_____ Yes _____ No	_____pts
17. Within the past five (5) years, have any of your employees or another entity (including an Owner) filed a complaint against your firm with the California Contractors State License Board? If yes, how many complaints were filed? (4+ = 0 pts., 1-3= 2 pts., No = 4 pts.)	_____ Yes _____ No _____Complaints	_____pts
18. Within the past five (5) years, have there been any findings against your firm based on complaints by any of your employees or subcontractor employees filed with the Labor Board? If yes, how many findings? (4+ = 0 pts., 1-3= 2 pts., No = 6 pts.)	_____ Yes _____ No _____Findings	_____pts
19. Has your firm or any related affiliate ever filed bankruptcy or re-organization under the bankruptcy laws? (Yes = 0 pts., No = 5 pts.)	_____ Yes _____ No	_____pts

If you answered "Yes" to any of questions 13-19, you must attach an explanation for each on a separate sheet.

SECTION 4 – PERFORMANCE

1. What size projects can your company undertake:

Single job: \$_____ Total work in Progress: \$_____

2. List the two (2)- largest *public works* new construction contracts completed in the State of California in the past five (5) years and provide the requested information for each project listed:

	Owner	Contact & Phone #	Project Name	Contract \$	Year Completed
Project A					
Project B					
				Project A	Project B
Original contract value:					
Change orders - total value:					
Owner-initiated change orders - % of total:					
Change orders due to differing site conditions - % of total:					
Other change orders - % of total:					
Final contract value:					
Original contract duration - calendar days:					
Final contract duration - calendar days:					
Original contract completion date:					
Actual contract completion date:					
Time extensions voluntarily resolved with owner - calendar days:					
Time extensions involuntarily resolved by mediation, arbitration, or litigation - calendar days:					

3. List the three (3) largest contracts completed in the State of California in the past five (5) years not listed in #2 above:

Owner	Contact & Phone #	Project Name	Contract \$	Year Completed

4. Provide a list detailing experience record of proposed construction staff which you intend to assign to the project (project managers, superintendents, foremen, etc.)

5. List all projects completed for School Districts, including community college districts, in the last five (5) years not listed in #2 or #3 above: *(Attach separate sheet(s) as needed.)*

Owner	Contact & Phone#, Inspector & Phone#	Job Description	Contract \$	Year Compl.

6. List two (2) current Trade Suppliers and three (3) current Trade Subcontractors that you principally work with:

Company	Material or Service Provided	Contact	Phone #
1.			()
2.			()
3.			()
4.			()
5.			()

7. Attach copies of OSHA 300 Logs from the past 3 years.

Reference Interview Questions (Module 3)

The following questions will be used to interview randomly selected contacts from at least three (3) completed projects. The District will do this. No action on your part is necessary. These questions are for your information only. Highest Possible Rate, for these questions, is 140 Points. A score less than 100 points disqualifies you from bidding projects proposed by Rio Hondo Community College electing to use this pre-qualification process as a condition of bidding.

1. Are there any outstanding stop notices or liens currently unresolved on contracts that have had notices of completion recorded? (Max. 10 points)
2. Did the contractor provide adequate personnel and equipment? (Max. 10 points)
3. Did the contractor provide adequate supervision? (Max. 10 points)
4. Do you think the contractor is capable of handling projects greater than \$ 12 million as Prime Contractor?
(Yes = 10 points No =0 points)
5. Was the contractor timely in providing reports and other paperwork, including change order paperwork? (Max. 10 points)
6. Was the contractor timely in completing the project? (Max. 10 points)
7. Were there excessive change orders on the job that can be faulted to the contractor or his subcontractors? (Max. 10 points)
8. When a change order was issued, did the contractor perform the work well, and did it integrate into the existing work easily? (Max. 10 points)
9. How has the contractor been performing in the area of taking care of warranty items? (Max. 10 points)
10. Did you have difficulty with claims? (Max. 10 points)
11. How would you rate the contractor's overall performance? (Max 10 points)
12. Would you want to work with them again? (Max 10 points)
13. Describe any significant safety issues on the Project. (Max 10 points)

14. Subcontractor/supplier question: Does this contractor pay his bills on time? (Max 10 points)

SECTION 5 - INSURANCE

Do you currently have a liability insurance policy with a policy limit of at least \$1,000,000 per occurrence and \$2,000,000 aggregate? _____ Yes _____ No

Please provide a Certificate of Insurance as verification

AMOUNT OF INSURANCE \$ _____ Years with Ins. Co.: _____

Insurance Company Information: Name: _____
Address: _____
Phone #: (____) _____
Contact: _____

Note: Please list all insurance companies for the past eight (8) years on a separate page including phone numbers and contact name.

District Use Only: Certified by District by _____ on _____ by speaking with _____ Comments: _____ Certificate of Insurance attached? _____ Yes Expiration date of insurance _____
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SECTION 6 - FINANCIAL INFORMATION

Reviewed or audited statements are required. A compilation is not acceptable.

Financial Capacity:

1. Contractor MUST have a working capital (current assets to current liabilities) ratio of at least **1.2:1** in order to qualify. Inability to meet this ratio will disqualify Contractor.
2. The maximum dollar rating for which the Contractor shall be qualified to bid is Ten Times (10x) Bidder's net worth (assets less liabilities). It is estimated that the value of construction for this project will be between \$27 M and \$28 M.

Based on an _____ Audit or _____ Review Dated: _____

1. Ratio Based on Working Capital: _____ : _____ (must be at least 1.2:1 to qualify)
2. Dollar Rating Based on 10x Net Worth: \$ _____

ACCOUNTANT'S RELEASE LETTER

By signing the form below, I authorize this pre-qualifying agency to contact our company's licensed accounting firm to verify our most recent audited or reviewed financial statement. I understand the financial statement is confidential information and is not open to public inspection.

_____	_____
Name	Contractor's Signature

Title	

Company Name	

Date	

District Use Only:

Verified by District by _____ on _____ by speaking with _____.

SECTION 7 - LABOR COMPLIANCE CLEARANCE

Our firm has worked for Rio Hondo Community College District in the past three (3) years. ___ Yes ___ No

If no, this section is not required, please proceed to Section 8.

Do any projects completed for Rio Hondo Community College more than six (6) months ago have any outstanding Labor Compliance issues? _____Yes _____No

If yes, list any projects completed more than six (6) months or more in the past, which have outstanding Labor Compliance issues.

Project Name	Completion Date	Dollar Amount Withheld

If any projects are listed, please attach a detailed explanation of the steps taken to date to clear the issue.

SECTION 8 – PRE-QUALIFICATION CERTIFICATION FORM

A copy of this certification must be completed and signed by the preparer and by at least one general partner, owner, principal or officer authorized to legally commit the Applicant, and submitted with the Application.

The Applicant recognizes that the information submitted in the questionnaire herein is for the express purpose of inducing the District to award a contract to Applicant. The Applicant has read and understands the requirements of this Pre-Qualification Application and process, and has read and understands the instructions for completing this form. The Applicant acknowledges that he/she is duly authorized to provide the information contained in this Application and that answering the questions in this Application is entirely within his/her control.

DECLARATION

I, (printed name) _____ am the title) _____ of Applicant. I certify that I have read and understood the questions contained in the attached Application, and that to the best of my knowledge and belief all information contained herein and submitted concurrently or in supplemental documents with this Application is complete, current, and true. I further acknowledge that any false, deceptive or fraudulent statements on the Application will result in denial of Pre-Qualification. I authorize the District to contact any entity named herein, or any other internal or outside resource, for the purpose of verifying information provided in the questionnaire or to develop other information deemed relevant by the District.

Signature of Preparer or Officer of the Applicant Date

Signature of Preparer or Officer of the Applicant Date

NOTICE TO APPLICANTS

A material false statement, omission or fraudulent inducement made in connection with this Pre-Qualification Application is sufficient cause for denial of the Application or revocation of a prior approval, thereby precluding the Applicant from doing business with, or performing work for, the District, either as a vendor, prime contractor, subcontractor, or supplier for a period of three years. In addition, such false submission may subject the person and/or entity making the false statement to criminal charges. [Title 18 USC 1001, false statements; California Penal Code Section 132, offering altered or antedated or forged documents or records; and Section 134, preparing false documentary evidence].

SECTION 9 – PRE-QUALIFICATION VALIDATION FORM

This Validation Form must be submitted for each bid or proposal. The Validation Form must be completed and signed by at least one General Partner, Owner, Principal or Officer authorized to legally commit the Applicant. For Applicants who provide additional and/or updated information as indicated below, submission of this Validation Form in advance of the bid or proposal date is encouraged. An evaluation of the new information could result in the change in Pre-Qualification status of the Applicant and if the Pre-Qualification status is denied, bidder may be considered non-responsive.

Bid Name and Number: **Student Services/Student Union/Campus Quad Renovations**

Bid # 1162

DECLARATION

I, (printed full name) _____, hereby declare that I am the (position or title) _____ of (APPLICANT) _____, and that I am duly authorized to execute this Validation Statement on behalf of this entity. I acknowledge that any false, deceptive or fraudulent statements on this validation will result in denial of Pre-Qualification. I hereby state:

The Pre-Qualification Application dated on file with District is correct and current as submitted.

OR

The Pre-Qualification Application dated on file with District is correct and current as submitted, except as modified by the attached changed pages and/or attachments to said Application.

(Applicant may attach additional sheets to describe changes). Attach recent financial statements if previous are more than one year old.

Signature of Person Certifying for Applicant Date

Name of Applicant: _____

Tax ID No. or SSN: _____

LIST OF REQUIRED ATTACHMENTS

- Certificate of Insurance
- Letter of Bondability
- Current Reviewed or Audited Financial Statement
- Accountant's Release Letter
- Pre-Qualification Certification Form
- California Contractors License

Note:

California Contractors License-the copy must clearly and legibly show: (i) the licensee name; (ii) the expiration date; (iii) the classification(s) of licensure).

If your organization's California Contractors License is issued by virtue of the qualification of a responsible managing employee or responsible managing officer, the Qualifiers Bond is required pursuant to California Business & Professions Code §7071.9).

Exchange this page for all other appropriate attachments mentioned herein, such as certificate of insurance, letter of bondability, financial statement, etc., as well as any additional information you wish to add.